

04/10/01  
31049 U.S. PTO

04-11-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002.OMB 0651-0032

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.		26017-3	
		First Inventor		James U. Morrison	
		Title	Method and Composition for Controlled Release Acarbose Formulations		
		Express Mail Label No.		EL321484316US	

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09/829707  
04/10/01

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16 ]
  - Descriptive title of the invention
  - Summary of the Invention
  - Background of the Invention
  - Brief Description of the Drawings (if filed)
  - Description of the Specific Embodiments
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages 1 ]
5. Oath or Declaration [Total Pages ☐ ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76
18. ☐ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### ADDRESS TO:

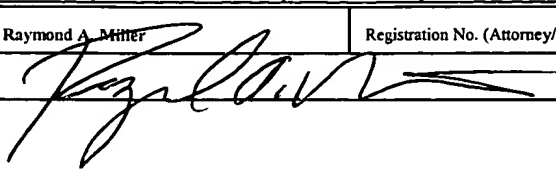
Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies);
    - or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Certificate of Express Mail.

### 19. CORRESPONDENCE ADDRESS

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Signature			Date	04/10/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : James U. Morrison Group Art Unit: N/A  
Serial No. : Not Yet Assigned Examiner: N/A  
Filing Date : Herewith Docket No.: 26017-3  
Title : **METHOD AND COMPOSITION FOR  
CONTROLLED RELEASE ACARBOSE  
FORMULATIONS**

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**CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. 1.10\***

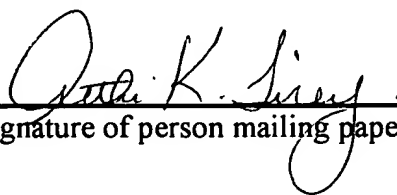
I hereby certify that this New Utility Patent Application is being deposited with the United States Postal Service on April 10, 2001, in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, and addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

**EL321484316US**

Express Mail Label Number

**Arthi K. Tirey**

*(type or print name of person mailing paper)*

  
\_\_\_\_\_  
Signature of person mailing paper

**Documents enclosed:**

1. Utility Patent Application Transmittal Sheet (one page);
2. Utility Patent Application (specification, 16 pages; drawing sheet, 1 page);
3. Return receipt postcard.